



2132  
#11  
BT

4-29-03

Filed Via Certified Mail  
Rec. No.: 7000 0520 0021 2387 5280  
On : April 14, 2003

By

Eric L. Maldonado

Any fee due as a result of this paper, not covered by an enclosed check, may be charged on Deposit Acct. No. 50-1290.

Attorney Docket No. 100809-16280 ( SCEW 18.970)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Ken KUTARAGI, et al.

Serial No.: 09/942,977

**RECEIVED**

Filed: August 30, 2001

APR 22 2003

Title: METHOD AND SYSTEM FOR MONITORING.... Technology Center 2100

Examiner:

Art Unit: 2132

April 14, 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of documents listed thereon. These documents contain information in which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Also attached is a copy of an International Search Report dated April 4, 2003 from the corresponding International Patent Application. All of the documents listed in Form PTO- 1449 appear in the International Search Report.

Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more

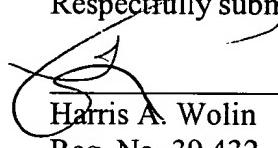
than three months prior to the filing of this information disclosure statement, so no fee is due.

The present Information Disclosure Statement is being submitted in compliance with 37 §CFR 1.56 as an Examiner might consider any cited document important in deciding whether to allow the application to issue as a patent, but the citation of each document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is respectfully solicited.

**Any fee due with this paper may be charged on Deposit Account 50-1290.**

Respectfully submitted,

  
Harris A. Wolin  
Reg. No. 39,432

Katten Muchin Zavis Rosenman  
575 MADISON AVENUE  
NEW YORK, NEW YORK 10022-2585  
(212) 940-8800  
DOCKET NO. 100809-16280(SCEW 18.970)

Please type a plus sign (+) → +

**Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE**

1449/PTO	U.S. Department of Commerce Patent and Trademark Office	Application No. : 09/942,977
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Filing Date : August 30, 2001
<u>Sheet 1 of 1</u>		First Named Inventor: K. KUTARAGI
		Group Art Unit : 2132
		Examiner Name :
		Attorney Docket No. : SCEW 18.970



## **U.S. PATENT DOCUMENTS**

Examiner Initials E JCA 2003	Cite No. <sup>1</sup>	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY 07/25/2000	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		6,094,724		BENHAMMOU, et al.		
					<b>RECEIVED</b>	
					APR 22 2003	
					Technology Center 2100	

RECEIVED

APR 22 2003

Technology Center 2100

## **FOREIGN DOCUMENTS**

FOREIGN DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		0 837 383 ✓	EP	FUJI XEROX CO., LTD.	04/22/1998	

## **Other Prior Art-Non Patent Literature Documents**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.	Applicant check here if English language translation attached
Examiner Signature		Date Considered	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20331. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20331.